



Site: \_\_\_\_\_ EPA #: \_\_\_\_\_ Date: \_\_\_\_\_

### HSM Intermediate or Reclamation Facility Checklist

INSPECTION ITEM	CITATION	COMMENTS
<p>Is the facility storing the HSM in a unit, such that it can be demonstrated that speculative accumulation is not occurring? (label indicating when accumulation started, or through inventory logs or other appropriate methods)</p> <p>Is the HSM being managed so that no other person or facility handles it, except the generator, transporter, and verified reclamation facilities?</p> <p>The HSM being managed must not be otherwise subject to R315-261-4(a) material-specific management conditions, and must not be a spent lead-acid battery</p>	<p>R315-261-4(a)(24)(i) R315-261-1(c)(8)</p> <p>R315-261-4(a)(24)(ii)</p> <p>R315-261-4(a)(24)(iii)</p>	
<p>Does the facility have at least 3 years of records for shipments of HSM received, (<i>and, if applicable, sent off-site for further reclamation</i>) that includes name of transporter, date of shipment, name and address of the generator (<i>and, if applicable, the intermediate facility received from</i>), and type and quantity of the HSM that was shipped? (<i>If sent off-site for further reclamation, also the name and address of the subsequent facility</i>)</p> <p>If the facility is an intermediate, does it send the HSM to the claimer(s) designated by the generator?</p> <p>Is the facility sending confirmation records to the generator, for received shipments from the generator, that confirm receipt of the HSM by the claimer? (Note: financial records, bills of lading, copies of D.O.T. shipping papers, or electronic receipts satisfy this requirement)</p> <p>Is the facility managing the HSM in a manner that is at least as protective as that employed for analogous raw material, and is it contained?</p> <p>Are any residuals generated from reclamation processes being managed in a manner that is protective of human health and the environment, and, if they exhibit a hazardous characteristic, or are a listed hazardous waste, are they being managed appropriately?</p> <p>Does the facility have financial assurance as required under R315-261-140 through 151?</p> <p>Has the facility provided notification that it is performing reclamation of the HSM? (EPA Form 8700-12)</p> <p>Is the facility complying with the Emergency Preparedness and Response requirements in R315-261-400, 410, 411, and 420, as appropriate?</p>	<p>R315-261-4(a)(24)(vi)(A)</p> <p>R315-261-4(a)(24)(vi)(B)</p> <p>R315-261-4(a)(24)(vi)(C)</p> <p>R315-261-4(a)(24)(vi)(D) R315-260-10(c)(19)</p> <p>R315-261-4(a)(24)(vi)(E)</p> <p>R315-261-4(a)(24)(vi)(F)</p> <p>R315-261-4(a)(24)(vii)</p> <p>R315-261-410</p>	



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<p>Does the facility have a detailed written estimate, in current dollars, of the cost of disposing of any HSM as listed or characteristic hazardous waste, and the potential cost of closing the facility as a TSDF?</p> <p>Is the facility adjusting the cost estimate annually, to account for inflation?</p> <p>Is the facility revising the cost estimate no later than 30 days after a change in the facility's operating plan or design that would increase the costs of disposal of the HSM as hazardous waste, or costs to close the facility as a TSDF?</p> <p>Does the facility have the most recent cost estimate (or revised cost estimate) available for review?</p> <p>Does the facility have the most recent adjusted estimate (inflation) available for review?</p>	<p>R315-261-4(a)(24)(vi)(F) R315-261-142(a)</p> <p>R315-261-4(a)(24)(vi)(F) R315-261-142(b)</p> <p>R315-261-4(a)(24)(vi)(F) R315-261-142(c)</p> <p>R315-261-4(a)(24)(vi)(F) R315-261-142(d)</p> <p>R315-261-4(a)(24)(vi)(F) R315-261-142(d)</p>	
<p>Does the facility have financial assurance for the current cost estimate through one of the below options?:</p> <p>___ <b>Trust fund</b> Has an originally signed duplicate of the trust agreement been provided to the Director?</p> <p>___ <b>Surety bond guaranteeing payment into a trust fund</b> Has the surety bond been submitted to the Director?</p> <p>___ <b>Letter of credit</b> Has the letter of credit been submitted to the Director?</p> <p>___ <b>Insurance</b> Has a certificate of insurance been submitted to the Director?</p> <p>___ <b>Financial test and corporate guarantee</b> Have the required signed letters and financial reports been submitted to the Director?</p> <p>___ <b>Multiple financial mechanisms</b> Has proof of financial assurance covering the current cost estimate by a combination of trust fund(s), surety bonds, letters of credit and insurance been submitted to the Director?</p>	<p>R315-261-143</p> <p>R315-261-143(a)</p> <p>R315-261-143(b)</p> <p>R315-261-143(c)</p> <p>R315-261-143(d)</p> <p>R315-261-143(e)</p> <p>R315-261-143(f)</p>	